March 25, 2015

Mr. Gary A. Causey, CPD Director
Community Planning and Development Division
U. S. Department of Housing and Urban Development
Office of Community Planning and Development
400 West Bay Street
Suite 1015
Jacksonville, Florida 32202-4439

Dear Mr. Causey:

On behalf of the State of Florida, the Department is submitting Substantial Amendments to the 2010, 2011, 2012, 2013 and 2014 Annual Action Plans. The five Substantial Amendments pertain only to the Small Cities Community Development Block Grant (CDBG) program.

The purpose of the Substantial Amendments is to allocate 2.5% for State Administration and 0.5% for Training and Technical Assistance. States are allowed $100,000 (unmatched) plus 3.0% of their total allocation for Small Cities State Administration and Training and Technical Assistance combined.

Please do not hesitate to call Bob Dennis at 850-717-8445 or contact him by email at bob.dennis@deo.myflorida.com if you have any questions or need additional information.

Sincerely,

William B. Killingsworth
Director
Division of Community Development

WBK/gkw
Enclosures:
3 Copies of each Substantial Amendment
Substantial Amendment to the State of Florida’s
Federal Fiscal Year 2010 Annual Action Plan
for
Programs Funded by the U.S. Department of Housing and
Urban Development

Jesse Panuccio
Executive Director

107 East Madison Street, Tallahassee, Florida 32399-6508
Telephone 850-717-8405
AMENDMENT # 1 TO THE STATE OF FLORIDA ANNUAL ACTION PLAN FOR FFY 2010

The purpose of this Substantial Amendment is to allocate 2.5% for State Administration (matched with General Revenue “GR”) and 0.5% for Training and Technical Assistance “T/TA”. The Substantial Amendment to the Annual Action Plan was advertised in the Florida Administrative Register and posted to the Department’s website for the required thirty (30) day public comment period, which began on January 27, 2015, and concluded on February 26, 2015. No public comments were received. The public hearing was held on February 26, 2015, there were no attendees.

This Substantial Amendment pertains only to the Small Cities Community Development Block Grant (CDBG) program’s portion of the Annual Action Plan, pages 1-22.

All revisions proposed will be listed under;
Fund Distribution, Allocation, Priorities and Specific Objectives (page 6 of original Action Plan)
Administration, Planning and Technical Assistance (page 7 of original Action Plan)

The 2010 Annual Action Plan may be accessed by:

Small Cities State Administration and Training and Technical Assistance Allocations

The Department of Economic Opportunity (the Department) reviewed U.S. Department of Housing and Urban Development (HUD) funds for State Administration and Training and Technical Assistance available under Federal Grant Number B-10-DC-12-0001.

As a result, the Department has revised the allocation for State Administration from 2.0% to 2.5% and Training and Technical Assistance from 1.0% to 0.5%. States are allowed $100,000 (unmatched) plus 3.0% of their total allocation for Small Cities State Administration and Training and Technical Assistance combined.

<table>
<thead>
<tr>
<th>Federal Grant Number</th>
<th>Current State Administration (matched with GR) - (2.0%)</th>
<th>Current Training/ TA Allocation (1.0%)</th>
<th>Total Current State Admin (matched with GR) and T&amp;TA</th>
<th>Revised State Administration (matched with GR) - (2.5%)</th>
<th>Revised Training/TA Allocation (0.5%)</th>
<th>Total Revised State Admin (matched with GR) and T&amp;TA</th>
</tr>
</thead>
<tbody>
<tr>
<td>B-10-DC-12-0001</td>
<td>$591,319.68</td>
<td>$295,659.84</td>
<td>$886,979.52</td>
<td>$739,149.60</td>
<td>$147,829.92</td>
<td>$886,979.52</td>
</tr>
</tbody>
</table>
approved for funding. The competitive scoring process favors applications that make use of other funds.

**Fund Distribution, Allocation, Priorities and Specific Objectives**

The following chart reflects the planned distribution of Federal Fiscal Year 2010 CDBG funds.

<table>
<thead>
<tr>
<th>2010 Allocation</th>
<th>$29,565,984.00</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Administration (unmatched)</td>
<td>$100,000.00</td>
</tr>
<tr>
<td>State Administration (matched with GR)</td>
<td>2.0% 2.5%</td>
</tr>
<tr>
<td>5% Emergency Set-Aside</td>
<td>$1,478,299.20</td>
</tr>
<tr>
<td>Training/TA</td>
<td>1.0% 0.5%</td>
</tr>
<tr>
<td>.5% Planning Grants</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL PASS THROUGH</strong></td>
<td></td>
</tr>
<tr>
<td>NR (40%)</td>
<td>$10,781,150.14</td>
</tr>
<tr>
<td>HR (30%)</td>
<td>$8,085,862.61</td>
</tr>
<tr>
<td>ED (20%)</td>
<td>$5,390,575.07</td>
</tr>
<tr>
<td>CR (10%)</td>
<td>$2,695,287.54</td>
</tr>
</tbody>
</table>

These percentages place an emphasis, or priority, on public facility and infrastructure projects, followed by activities that provide safe and decent housing, economic development activities that will create or retain jobs, and projects that revitalize commercial areas, respectively. Should eligible requests for funds be insufficient to fully utilize all funds allocated to a category, the balance of the funds in that category may be reallocated to the category receiving the greatest number of applications or to economic development activities. Grant category funding levels may also be increased and/or decreased by reallocated funds. (Please see the section on CDBG Program Income and Deobligated Funds.)

**Annual Objectives and Outcome Measures**

Performance objectives for the communities served by the Florida Small Cities CDBG Program are entered into HUD's IDIS system when awards are made, and final accomplishments and beneficiaries are reported when the project is completed. The composite objectives of subgrantees comprise the state's overall objectives.

The Florida Small Cities CDBG Program will address three primary objectives with its Federal Fiscal Year 2010 allocation:

1. Creating a suitable living environment
2. Providing decent housing
3. Creating economic opportunities

These objectives will result in three major outcomes:

1. Improving availability or accessibility of units or services
2. Improving affordability, not just of housing but also of other services
3. Improving sustainability by promoting viable communities

The Department has developed a matrix that can be used as a guide to associate performance measures and outcomes to eligible activities. Until applications are scored and funded, the Department cannot adequately outline performance objectives since community needs are evidenced by the applications submitted for funding. *(Therefore, the Department does not submit Table 3A and Table 3B, mentioned in the submission requirements.)*
All activities funded with federal fiscal year 2010 CDBG funds will meet one of the following performance objectives:

**Economic Development**
Performance Measure: Creating economic opportunities
Outcome: Improving availability or accessibility of units or services
Economic development projects must create or retain jobs for low and moderate income persons. The activities also stimulate the economy since businesses are retained or brought into a community as a result of the activities. Outcome measures may include construction or expansion to infrastructure to allow a business to locate or expand in a community.

**Housing**
Performance Measure: Providing decent housing
Outcome: Improving sustainability by promoting viable communities
Outcome measures include housing units rehabilitated or replaced as well as households permanently or temporarily relocated.

**Neighborhood Revitalization (including Planning and Design Specifications Grants)**
Performance Measure: Creating a suitable living environment
Outcome(s): Improving availability or accessibility of units or services
Improving sustainability by promoting viable communities
Neighborhood revitalization projects make improvements to declining residential areas or provide new or improved infrastructure and public facilities. Outcome measures may include construction or improvements to infrastructure (water and sewer systems, flood and drainage systems, street paving, neighborhood centers, parks, etc.)

**Emergency Set-Aside**
Performance Measure: Creating a suitable living environment
Outcome: Improving sustainability by promoting viable communities
Emergency set-aside funds are used to address situations within communities that have resulted in the Governor declaring a state of emergency. The funds are typically used for recovery from weather events that cause significant devastation. Outcome measures may include construction or improvements and may address housing, infrastructure, public facilities, businesses, or commercial areas.

**Administration, Planning and Technical Assistance**
The state, as well as its subgrantees, cannot expend more than 20 percent of the aggregate amount of the annual grant for planning, management and administrative costs. The Department will use one percent zero point five percent (0.5%) of its 2010 funding for training and technical assistance. In many cases, planning activities that support CDBG activities enable a local government to more efficiently and effectively administer grant funds.
Substantial Amendment to the State of Florida’s Federal Fiscal Year 2011 Annual Action Plan for Programs Funded by the U.S. Department of Housing and Urban Development
AMENDMENT # 1 TO THE STATE OF FLORIDA ANNUAL ACTION PLAN FOR FFY 2011

The purpose of this Substantial Amendment is to allocate 2.5% for State Administration (matched with General Revenue “GR”) and 0.5% for Training and Technical Assistance “T/TA”. Revisions are also being made to the 2011 Annual Action Plan to reflect the final allocations.

The Substantial Amendment to the Annual Action Plan was advertised in the Florida Administrative Register and posted to the Department’s website for the required thirty (30) day public comment period, which began on January 27, 2015, and concluded on February 26, 2015. No public comments were received. The public hearing was held on February 26, 2015, there were no attendees.

This Substantial Amendment pertains only to the Small Cities Community Development Block Grant (CDBG) program’s portion of the Annual Action Plan which is included in the Five-Year Consolidated Plan, pages 144-160.

All revisions proposed will be listed under;
Executive Summary (page 144 of original Action Plan)
Sources of Funds (page 145 of original Action Plan)
Fund Distribution, Allocation, Priorities and Specific Objectives (page 146 of original Action Plan)
Administration, Planning and Technical Assistance (page 148 of original Action Plan)

The 2011 Annual Action Plan may be accessed by:

Small Cities State Administration and Training and Technical Assistance Allocations

The Department of Economic Opportunity (the Department) reviewed U.S. Department of Housing and Urban Development (HUD) funds for State Administration and Training and Technical Assistance available under Federal Grant Number B-11-DC-12-0001.

As a result, the Department has revised the allocation for State Administration from 2.0% to 2.5% and Training and Technical Assistance from 1.0% to 0.5%. States are allowed $100,000 (unmatched) plus 3.0% of their total allocation for Small Cities State Administration and Training and Technical Assistance combined.

<table>
<thead>
<tr>
<th>Federal Grant Number</th>
<th>Current State Administration (matched with GR) - (2.0%)</th>
<th>Current Training/ TA Allocation (1.0%)</th>
<th>Total Current State Admin (matched with GR) and T&amp;TA</th>
<th>Revised State Administration (matched with GR) - (2.5%)</th>
<th>Revised Training/TA Allocation (0.5%)</th>
<th>Total Revised State Admin (matched with GR) and T&amp;TA</th>
</tr>
</thead>
<tbody>
<tr>
<td>B-11-DC-12-0001</td>
<td>$496,817.78</td>
<td>$248,408.89</td>
<td>$745,226.67</td>
<td>$621,022.23</td>
<td>$124,204.44</td>
<td>$745,226.67</td>
</tr>
</tbody>
</table>
ANNUAL ACTION PLAN

Executive Summary

The One-Year Action Plan or Annual Action Plan is a document submitted to the U.S. Department of Housing and Urban Development (HUD) annually which describes the method used by the State of Florida to distribute HUD funds. It also contains information on priorities to be addressed and program objectives. The Plan covers one state fiscal and one allocation of federal funding.

The Annual Action Plan for Federal Fiscal Year 2011 outlines application and administrative requirements of the Florida Small Cities Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG), HOME Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The Plan covers a one-year period from July 1, 2011 to June 30, 2012.

During the 2011 fiscal year, the Small Cities CDBG Program will receive $24,840,363 $24,840,889 for housing rehabilitation, neighborhood and commercial area improvements, and economic development activities. The ESG Program will receive $4,158,550 to provide services to homeless persons, and the HOPWA Program will receive $5,567,890 to help families with housing expenses so that they are not displaced due to illness. The HOME Program will receive $20,220,004 to make home ownership a reality for many Floridians and to provide rental housing.40

The 2011 Annual Action Plan was developed simultaneously with the Consolidated Plan. The State followed its citizen participation plan during the development of the Plan. A notice was published in the Florida Administrative Weekly (FAW) announcing two meetings open to the public. The notice was also posted to the Department’s website and emailed to eligible applicants and interested parties. A public hearing on the draft was held on April 5, 2011 at the Department of Community Affairs and a public hearing conference call was held on April 7, 2011. A comment period was provided so that interested parties could comment on the proposed Action Plan. The comment period began on March 11, 2011 and ended on April 11, 2011.

Each of the four programs covered by the Action Plan are funded by HUD, but are administered by separate state agencies. Therefore, each agency prepared the portion of the Plan that relates to the program it administers. The narratives include performance measures and other program-specific information.

The focus of Florida’s housing and community development goals are:
- affordable housing, including addressing the needs of persons with HIV/AIDS and the homeless
- building or improving infrastructure and public facilities within local communities
- creating economic opportunities that create or retain jobs

Each year, these programs provide housing opportunities by building new, affordable housing for low and moderate income (LMI) residents and rehabilitating deteriorating housing units. Housing assistance is also provided to persons with HIV/AIDS, and shelters for homeless persons are provided funding to continue services and to build or expand the facilities. The CDBG program also revitalizes neighborhoods by improving or building new infrastructure (such as water and sewer systems) and public facilities. Performance can also be measured by the number of requests, or applications, for assistance that are received by the programs. The State receives more requests for assistance than can be provided.

The State reports on its performance in HUD’s online reporting system as well as in a written

40 At the time this draft was prepared, the amount of HUD funding for 2011 was not known. Also, Congress is considering major cuts to many federal programs, and it is unknown at this time how the Small Cities CDBG, HOME, HOPWA and ESG programs will be affected. HOPWA and ESG programs will be affected.

(Page 144 of Annual Action Plan)
performance report. The performance report clearly indicates effective administration of the programs. In addition, each program tracks accomplishments and beneficiaries in state agency reporting systems.

Sources of Funds

As previously mentioned, funding for the Florida Small Cities CDBG, ESG, HOME, and HOPWA programs is provided by the U.S. Department of Housing and Urban Development (HUD). For Federal Fiscal Year 2011, the State will receive a total of $54,786,807.

<table>
<thead>
<tr>
<th>Florida Small Cities Community Development Block Grant (CDBG)</th>
<th>$24,840,363</th>
<th>$24,840,889</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emergency Shelter Grant (ESG)</td>
<td>$4,158,550</td>
<td></td>
</tr>
<tr>
<td>HOME Investment Partnerships (HOME)</td>
<td>$20,220,004</td>
<td></td>
</tr>
<tr>
<td>Housing Opportunities for Persons with AIDS (HOPWA)</td>
<td>$5,567,890</td>
<td></td>
</tr>
</tbody>
</table>

For additional information, you may contact the following offices:

< Department of Community Affairs - (Florida Small Cities CDBG Program) 850/487-3644
< Department of Children and Families - (ESG Program) 850/922-9850
< Florida Housing Finance Corporation - (HOME Program) 850/488-4197
< Department of Health - (HOPWA Program) 850/413-0736

FLORIDA SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM

The Florida Small Cities CDBG Program is administered in accordance with Sections 290.0401-290.049, Florida Statutes; Rule 9B-43, Florida Administrative Code; and 24 CFR 570, Subpart I. These laws and regulations state the objectives of the program and the activities that are eligible for funding. They also provide administrative guidance relating to the application for, and administration of, CDBG projects. Additional statutes, rules and regulations also affect how activities are carried out under the program.

Rule and Application Revision

The Department revised Rule 9B-43, Florida Administrative Code, in 2010. No revisions have been made since that time.41

Categories of Funding and National Objective

The CDBG Program is composed of four major components: Commercial Revitalization (CR), Economic Development (ED), Housing (H), and Neighborhood Revitalization (NR). Local governments can apply for a Planning and Design Specifications grant for architectural and engineering plans and specifications associated with Commercial or Neighborhood Revitalization projects. Construction funding will be made as soon as funds are available and biddable plans and specifications are completed. Funds may be made available from the next funding cycle or from deobligated funds or program income. (Deobligated funds are funds left over from grants that close out at amounts lower than the original funding.) Local governments applying for CDBG funds must consider national and state goals and objectives when developing applications for funding. Applications may reflect more than one activity, but each activity must meet at least one of the following three national objectives:

- benefit low- and moderate-income persons
- aid in the prevention or elimination of slums or blight

41 When this draft was prepared, the Department was considering amending Chapter 290, Florida Statutes, to raise the limit on the amount of economic development funding that can be provided.

(Page 145 of Annual Action Plan)
address community development needs having a particular urgency, because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available.

Communities are encouraged to submit applications for activities for which no other funds are available and to leverage other funds if possible. Local governments that participate in the Small Cities CDBG Program are also encouraged to attend application and implementation workshops. Staff makes every effort to ensure that local governments have all the information they need to prepare a grant application that meets the required criteria. The CDBG 2011 application cycle will open on July 1, 2011 and close on August 15, 2011. Staff provides ongoing technical assistance during the administration of grants and conduct workshops on CDBG issues as needed. In addition, eligible applicants and grant recipients are provided with materials that assist with the application and grant administration process.

Although not specifically identified in this Action Plan, the Florida Small Cities CDBG Program is administered in accordance with federal and state rules and regulations that pertain to accounting, audits, building codes, conflicts of interests, the environment, fair housing, civil rights, labor standards, procurement, lead-based paint, etc. Information on each of these topics is made available via the Department’s website, HUD’s website, and in written materials provided to subgrantees.

**Sources of Funds**
The Florida Small Cities CDBG Program relies on HUD funds to carry out the activities in the cities and counties it serves. Although no match is required, local governments are encouraged to use funding from other sources (i.e., local general revenue, other federal and state loan and grant funds, and private commitments) to make a greater impact in the community. The Department does not know the extent of the other funds committed to a project until applications from eligible jurisdictions are received and approved for funding. The competitive scoring process favors applications that make use of other funds.

**Fund Distribution, Allocation, Priorities and Specific Objectives**
The following chart reflects the planned distribution of Federal Fiscal Year 2011 CDBG funds.

<table>
<thead>
<tr>
<th>2011 Allocation</th>
<th>$24,840,363.00</th>
<th>$24,840,889.00</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Administration (unmatched)</td>
<td>$100,000.00</td>
<td>$100,000.00</td>
</tr>
<tr>
<td>State Administration (matched with GR)</td>
<td>2.0%</td>
<td>2.0% 2.5%</td>
</tr>
<tr>
<td>5% Emergency Set-Aside</td>
<td>$1,242,018.15</td>
<td>$1,242,044.45</td>
</tr>
<tr>
<td>Training/TA</td>
<td>1.0%</td>
<td>1.0%</td>
</tr>
<tr>
<td>TOTAL PASS THROUGH</td>
<td>$22,628,928.15</td>
<td>$22,753,617.88</td>
</tr>
<tr>
<td>NR (40%)</td>
<td>$9,251,572.86</td>
<td>$9,101,447.15</td>
</tr>
<tr>
<td>HR (24%)</td>
<td>$5,430,943.72</td>
<td>$5,460,868.29</td>
</tr>
<tr>
<td>ED (30%)</td>
<td>$6,788,679.64</td>
<td>$6,826,085.36</td>
</tr>
<tr>
<td>CR (6%)</td>
<td>$1,357,735.93</td>
<td>$1,365,217.07</td>
</tr>
</tbody>
</table>

These percentages place an emphasis, or priority, on the types of projects that will be funded.42 Should eligible requests for funds be insufficient to fully utilize all funds allocated to a category, the balance of the funds in that category may be reallocated to the category receiving the greatest number of applications or to economic development activities. Grant category funding levels may also be increased and/or decreased by reallocated funds. (Please see the section on CDBG Program Income and Deobligated Funds.)

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42 As of the date this draft was prepared, the Department had not finalized percentages to be allocated for the program areas.

(Page 146 of Annual Action Plan)
Neighborhood Revitalization (including Planning and Design Specifications Grants)

Performance Measure: Creating a suitable living environment
Outcome(s): Improving availability or accessibility of units or services
Improving sustainability by promoting viable communities

Neighborhood revitalization projects make improvements to declining residential areas or provide new or improved infrastructure and public facilities. Outcome measures may include construction or improvements to infrastructure (water and sewer systems, flood and drainage systems, street paving, neighborhood centers, parks, etc.)

Emergency Set-Aside

Performance Measure: Creating a suitable living environment
Outcome: Improving sustainability by promoting viable communities

Emergency set-aside funds are used to address situations within communities that have resulted in the Governor declaring a state of emergency. The funds are typically used for recovery from weather events that cause significant devastation. Outcome measures may include construction or improvements and may address housing, infrastructure, public facilities, businesses, or commercial areas.

Administration, Planning and Technical Assistance

The state, as well as its subgrantees, cannot expend more than 20% of the aggregate amount of the annual grant for planning, management and administrative costs. The Department will use one percent zero point five percent (0.5%) of its 2011 funding for training and technical assistance. In many cases, planning activities that support CDBG activities enable a local government to more efficiently and effectively administer grant funds.

Grant Ceilings

Florida sets grant ceilings that correspond to the low and moderate-income population of the community. Population groupings are based on HUD modified census figures summarizing low and moderate-income population.

<table>
<thead>
<tr>
<th>LMI Population</th>
<th>Grant Ceiling</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-499</td>
<td>$600,000</td>
</tr>
<tr>
<td>500-1,249</td>
<td>$650,000</td>
</tr>
<tr>
<td>1,250-3,999</td>
<td>$700,000</td>
</tr>
<tr>
<td>4,000-10,499</td>
<td>$750,000</td>
</tr>
<tr>
<td>10,500 and above</td>
<td>$750,000</td>
</tr>
</tbody>
</table>

Fiscal Year 2011 Funding Cycle

Notices relating to the application funding cycle will be published in the Florida Administrative Weekly (FAW), emailed to eligible local governments and interested parties, and posted to the Department’s website. It is anticipated that the 2011 funding cycle for Commercial Revitalization, Housing, and Neighborhood Revitalization will tentatively open July 1, 2011 and close August 15, 2011. Applications will be competitively scored, and site visits will be made to each community within the fundable range. Awards should be finalized by December 2, 2011.

The initial application due date for 2011 Economic Development (ED) applications will be in October 2011. Notice of the ED application cycle will be advertised in the funding cycle notice for the above-mentioned grant categories (Commercial Revitalization, Housing, and Neighborhood Revitalization). The ED process will be covered at the application workshop.

Eligible Communities (Geographic Distribution)

The Florida Small Cities CDBG Program serves small and rural communities throughout the state.
Substantial Amendment to the State of Florida’s Federal Fiscal Year 2012 Annual Action Plan for Programs Funded by the U.S. Department of Housing and Urban Development

Jesse Panuccio
Executive Director

107 East Madison Street, Tallahassee, Florida 32399-6508
Telephone 850-717-8405
AMENDMENT # 1 TO THE STATE OF FLORIDA ANNUAL ACTION PLAN FOR FFY 2012

The purpose of this Substantial Amendment is to allocate 2.5% for State Administration (matched with General Revenue “GR”) and 0.5% for Training and Technical Assistance “T/TA”. The Substantial Amendment to the Annual Action Plan was advertised in the Florida Administrative Register and posted to the Department’s website for the required thirty (30) day public comment period, which began on January 27, 2015, and concluded on February 26, 2015. No public comments were received. The public hearing was held on February 26, 2015, there were no attendees.

This Substantial Amendment pertains only to the Small Cities Community Development Block Grant (CDBG) program’s portion of the Annual Action Plan, pages 1-26.

All revisions proposed will be listed under;

Fund Distribution, Allocation, Priorities and Specific Objectives (page 5 of original Action Plan)
Administration, Planning and Technical Assistance (pages 6 of original Action Plan)

The 2012 Annual Action Plan may be accessed by:

Small Cities State Administration and Training and Technical Assistance Allocations

The Department of Economic Opportunity (the Department) reviewed U.S. Department of Housing and Urban Development (HUD) funds for State Administration and Training and Technical Assistance available under Federal Grant Number B-12-DC-12-0001.

As a result, the Department has revised the allocation for State Administration from 2.0% to 2.5% and Training and Technical Assistance from 1.0% to 0.5%. States are allowed $100,000 (unmatched) plus 3.0% of their total allocation for Small Cities State Administration and Training and Technical Assistance combined.

<table>
<thead>
<tr>
<th>Federal Grant Number</th>
<th>Current State Administration (matched with GR) - (2.0%)</th>
<th>Current Training/TA Allocation (1.0%)</th>
<th>Total Current State Admin (matched with GR) and T&amp;TA</th>
<th>Revised State Administration (matched with GR) - (2.5%)</th>
<th>Revised Training/TA Allocation (0.5%)</th>
<th>Total Revised State Admin (matched with GR) and T&amp;TA</th>
</tr>
</thead>
<tbody>
<tr>
<td>B-12-DC-12-0001</td>
<td>$457,747</td>
<td>$228,874</td>
<td>$686,621</td>
<td>$572,184</td>
<td>$114,437</td>
<td>$686,621</td>
</tr>
</tbody>
</table>
Although not specifically identified in this Action Plan, the Florida Small Cities CDBG Program is administered in accordance with federal and state rules and regulations that include, but are not limited to, accounting, audits, building codes, conflicts of interests, the environment, fair housing, civil rights, labor standards, procurement, and lead-based paint. Information on each of these topics is made available via the Department’s website, HUD’s website, and in written materials provided to subgrantees.

Sources of Funds
The Florida Small Cities CDBG Program relies on HUD funds to carry out the activities in the cities and counties it serves. Although no match is required, local governments are encouraged to use funding from other sources (i.e., local general revenue, other federal and state loan and grant funds, and private commitments) to make a greater impact in the community. The Department does not know the extent of the other funds committed to a project until applications from eligible jurisdictions are received and approved for funding. The competitive scoring process favors applications that make use of other funds.

Fund Distribution, Allocation, Priorities and Specific Objectives
The following chart reflects the planned distribution of Federal Fiscal Year 2012 CDBG funds.

<table>
<thead>
<tr>
<th>2012 Allocation</th>
<th>$22,887,374</th>
</tr>
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<tbody>
<tr>
<td>State Administration (unmatched)</td>
<td>$100,000</td>
</tr>
<tr>
<td>State Administration (matched with GR) 2.0% 2.5%</td>
<td>$457,747 572,184</td>
</tr>
<tr>
<td>2.5% Emergency Set-Aside</td>
<td>$572,184</td>
</tr>
<tr>
<td>Training/TA 1.0% 0.5%</td>
<td>$228,874 114,437</td>
</tr>
<tr>
<td>TOTAL PASS THROUGH</td>
<td>$21,528,569</td>
</tr>
<tr>
<td>Neighborhood Revitalization (41%)</td>
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</tr>
<tr>
<td>Housing Rehabilitation (16%)</td>
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<tr>
<td>Economic Development (40%)</td>
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</tr>
<tr>
<td>Commercial Revitalization (3%)</td>
<td>$645,857</td>
</tr>
</tbody>
</table>

These percentages place an emphasis, or priority, on the types of projects that will be funded. Should eligible requests for funds be insufficient to fully utilize all funds allocated to a category, the balance of the funds in that category may be reallocated to the category receiving the greatest number of applications or to economic development activities. Grant category funding levels may also be increased and/or decreased by reallocated funds. (Please see the section on CDBG Program Income and Deobligated Funds.)

Annual Objectives and Outcome Measures
Performance objectives for the communities served by the Florida Small Cities CDBG Program are entered into HUD’s Integrated Disbursement Information System (IDIS) when awards are made, and final accomplishments and beneficiaries are reported when the project is completed. The composite objectives of subgrantees comprise the state’s overall objectives.

The Florida Small Cities CDBG Program will address three primary objectives with its Federal Fiscal Year 2012 allocation:

1. Creating economic opportunities
2. Creating a suitable living environment
3. Providing decent housing

These objectives will result in three major outcomes:
1. Improving availability or accessibility of units or services
2. Improving affordability, not just of housing but also of other services
3. Improving sustainability by promoting viable communities

Until applications are scored and funded, the Department cannot adequately outline performance objectives since community needs are evidenced by the applications submitted for funding.

All activities funded with federal fiscal year 2012 CDBG funds will meet one of the following performance objectives:

**Commercial Revitalization**
Performance Measure: Creating economic opportunities
Outcomes: Improving sustainability by promoting viable communities
Commercial revitalization projects target declining commercial areas, particularly those with slum and blight. The anticipated outcome is that existing businesses will remain in the commercial area and new business will be attracted to the area. This results in economic viability that positively impacts the community. Outcome measures may include, but are not limited to, improvements to building facades, parking, sidewalks, and streets.

**Economic Development**
Performance Measure: Creating economic opportunities
Outcomes: Improving availability or accessibility of units or services
Economic development projects must create or retain jobs for low and moderate income households. The activities stimulate the economy since businesses are retained or brought into a community as a result of the activities. Outcome measures may include construction or expansion to infrastructure to allow a business to locate or expand in a community.

**Housing Rehabilitation**
Performance Measure: Providing decent housing
Outcomes: Improving sustainability by promoting viable communities
Outcome measures include housing units rehabilitated or replaced as well as households permanently or temporarily relocated.

**Neighborhood Revitalization**
Performance Measure: Creating a suitable living environment
Outcomes: Improving sustainability by promoting viable communities
Improving sustainability by promoting viable communities Neighborhood revitalization projects make improvements to declining residential areas or provide new or improved infrastructure and public facilities. Outcome measures may include construction or improvements to infrastructure (e.g., water and sewer systems, flood and drainage systems, street paving, neighborhood centers, and parks).

**Emergency Set-Aside**
Performance Measure: Creating a suitable living environment
Outcomes: Improving sustainability by promoting viable communities
Emergency set-aside funds are used to address situations within communities that have resulted in the Governor declaring a state of emergency. The funds are typically used for recovery from weather events that cause significant devastation. Outcome measures may include rehabilitation or improvements and may address housing, infrastructure, public facilities, businesses, or commercial areas.

**Administration, Planning and Technical Assistance**
The state, as well as its subgrantees, cannot expend more than 20% of the aggregate amount of the annual grant for planning, management and administrative costs. The Department will use one percent zero point five percent (0.5%) of its 2012 funding for training and technical assistance. In many cases, planning activities that support CDBG activities enable a local government to more efficiently and effectively administer grant funds.
Substantial Amendment to the State of Florida’s Federal Fiscal Year 2013 Annual Action Plan for Programs Funded by the U.S. Department of Housing and Urban Development

Substantial Amendment #2
AMENDMENT # 2 TO THE STATE OF FLORIDA ANNUAL ACTION PLAN FOR FFY 2013

The purpose of this Substantial Amendment is to allocate 2.5% for State Administration (matched with General Revenue “GR”) and 0.5% for Training and Technical Assistance “T/TA”. The Substantial Amendment to the Annual Action Plan was advertised in the Florida Administrative Register and posted to the Department’s website for the required thirty (30) day public comment period, which began on January 27, 2015, and concluded on February 26, 2015. No public comments were received. The public hearing was held on February 26, 2015, there were no attendees.

This Substantial Amendment pertains only to the Small Cities Community Development Block Grant (CDBG) program’s portion of the Annual Action Plan, pages 1-34.

All revisions proposed will be listed under:
- Fund Distribution, Allocation, Priorities and Specific Objectives (page 8 of original Action Plan)
- Administration, Planning and Technical Assistance (page 10 of original Action Plan)

The 2013 Annual Action Plan may be accessed by:

Small Cities State Administration and Training and Technical Assistance Allocations

The Department of Economic Opportunity (the Department) reviewed U.S. Department of Housing and Urban Development (HUD) funds for State Administration and Training and Technical Assistance available under Federal Grant Number B-13-DC-12-0001.

As a result, the Department has revised the allocation for State Administration from 2.0% to 2.5% and Training and Technical Assistance from 1.0% to 0.5%. States are allowed $100,000 (unmatched) plus 3.0% of their total allocation for Small Cities State Administration and Training and Technical Assistance combined.

<table>
<thead>
<tr>
<th>Federal Grant Number</th>
<th>Current State Administration (matched with GR) - (2.0%)</th>
<th>Current Training/TA Allocation (1.0%)</th>
<th>Total Current State Admin (matched with GR)</th>
<th>Revised State Administration (matched with GR) - (2.5%)</th>
<th>Revised Training/TA Allocation (0.5%)</th>
<th>Total Revised State Admin (matched with GR) and T&amp;TA</th>
</tr>
</thead>
<tbody>
<tr>
<td>B-13-DC-12-0001</td>
<td>$484,280</td>
<td>$242,140</td>
<td>$726,420</td>
<td>$605,350</td>
<td>$121,070</td>
<td>$726,420</td>
</tr>
</tbody>
</table>
Sources of Funds
The Florida Small Cities CDBG Program relies on HUD funds to carry out the activities in the cities and counties it serves. Although no match is required, local governments are encouraged to use funding from other sources (i.e., local general revenue, other federal and state loan and grant funds, and private commitments) to make a greater impact in the community. The Department does not know the extent of the other funds committed to a project until applications from eligible jurisdictions are received and approved for funding. The competitive scoring process favors applications that make use of other funds.

Fund Distribution, Allocation, Priorities and Specific Objectives
The following chart reflects the planned distribution of FFY 2013 CDBG funds.

<table>
<thead>
<tr>
<th></th>
<th>2013 Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Administration (unmatched)</td>
<td>$24,214,007</td>
</tr>
<tr>
<td>State Administration (matched with GR)</td>
<td>2.0% 2.5%</td>
</tr>
<tr>
<td>Emergency Set-Aside</td>
<td>2.5%</td>
</tr>
<tr>
<td>Training/TA</td>
<td>1.0% 0.5%</td>
</tr>
<tr>
<td>TOTAL PASS THROUGH</td>
<td>$22,782,237</td>
</tr>
<tr>
<td>Neighborhood Revitalization</td>
<td>39.8%</td>
</tr>
<tr>
<td>Housing Rehabilitation</td>
<td>18%</td>
</tr>
<tr>
<td>Economic Development</td>
<td>39%</td>
</tr>
<tr>
<td>Commercial Revitalization</td>
<td>3.2%</td>
</tr>
</tbody>
</table>

Amounts are rounded to the nearest dollar.

These percentages place an emphasis, or priority, on the types of projects that will be funded. Should eligible requests for funds be insufficient to fully utilize all funds allocated to a category, the balance of the funds in that category may be reallocated to the category receiving the greatest number of applications or to economic development activities. Grant category funding levels may also be increased and/or decreased by reallocated funds. (Please see the section on CDBG Program Income and Deobligated Funds.)

Annual Objectives and Outcome Measures
Performance objectives for the communities served by the Florida Small Cities CDBG Program are entered into HUD’s Integrated Disbursement Information System (IDIS) when awards are made, and final accomplishments and beneficiaries are reported when the project is completed. The composite objectives of subgrantees comprise the state’s overall objectives.

The Florida Small Cities CDBG Program will address three primary objectives with its FFY 2013 allocation:

1. Creating economic opportunities
2. Creating a suitable living environment
3. Providing decent housing
Emergency Set-Aside
Performance Measure: Creating a suitable living environment
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Emergency Set-Aside funds are used to address situations within communities that have resulted in the Governor declaring a state of emergency. The funds are typically used for recovery from weather events that cause significant devastation. Outcome measures may include rehabilitation or improvements and may address housing, infrastructure, public facilities, businesses, or commercial areas.

Administration, Planning and Technical Assistance
The state, as well as its subgrantees, cannot expend more than 20% of the aggregate amount of the annual grant for planning, management, and administrative costs. The Department will use one-percent zero point five percent (0.5%) of its 2013 funding for training and technical assistance. In many cases, planning activities that support CDBG activities enable a local government to more efficiently and effectively administer grant funds.

Grant Ceilings
Florida sets grant ceilings that correspond to the low- and moderate-income population of the community. Population groupings are based on HUD modified census figures summarizing low- and moderate-income population.

<table>
<thead>
<tr>
<th>LMI Population</th>
<th>Grant Ceiling</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-499</td>
<td>$600,000</td>
</tr>
<tr>
<td>500-1,249</td>
<td>$650,000</td>
</tr>
<tr>
<td>1,250-3,999</td>
<td>$700,000</td>
</tr>
<tr>
<td>4,000-10,499</td>
<td>$750,000</td>
</tr>
<tr>
<td>10,500 and above</td>
<td>$750,000</td>
</tr>
</tbody>
</table>

Federal Fiscal Year 2013 Funding Cycle
Notices relating to the application funding cycle will be published in the Florida Administrative Register, emailed to eligible local governments and interested parties, and posted to the Department’s website. It is anticipated that the FFY 2013 funding cycle for Commercial Revitalization, Economic Development, Housing Rehabilitation, and Neighborhood Revitalization will open when the Department completes revisions to Chapter 73C-23 FAC. Applications will be competitively scored, and site visits will be made to each community within the fundable range.

Economic Development (ED) applications are accepted throughout the year. Applications received during the cycle are scored and ranked. If funds remain after the cycle closes, applications are accepted and awarded on a first-come basis. When all ED funds have been awarded, remaining applications are put on a waiting list. If deobligated funds become available, they can be used to fund ED projects on the waiting list.
Substantial Amendment to the State of Florida’s Federal Fiscal Year 2014 Annual Action Plan for Programs Funded by the U.S. Department of Housing and Urban Development

Jesse Panuccio
Executive Director

107 East Madison Street, Tallahassee, Florida 32399-6508
Telephone 850-717-8405
AMENDMENT # 1 TO THE STATE OF FLORIDA ANNUAL ACTION PLAN FOR FFY 2014

The purpose of this Substantial Amendment is to allocate 2.5% for State Administration (matched with General Revenue “GR”) and 0.5% for Training and Technical Assistance “T/TA”. The Substantial Amendment to the Annual Action Plan was advertised in the Florida Administrative Register and posted to the Department’s website for the required thirty (30) day public comment period, which began on January 27, 2015, and concluded on February 26, 2015. No public comments were received. The public hearing was held on February 26, 2015, there were no attendees.

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Small Cities State Administration and Training and Technical Assistance Allocations

The Department of Economic Opportunity (the Department) reviewed U.S. Department of Housing and Urban Development (HUD) funds for State Administration and Training and Technical Assistance available under Federal Grant Number B-14-DC-12-0001.

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<tbody>
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<td>B-14-DC-12-0001</td>
<td>$482,600</td>
<td>$241,300</td>
<td>$723,900</td>
<td>$603,250</td>
<td>$120,650</td>
<td>$723,900</td>
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<tr>
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<td>$100,000</td>
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<td>$22,702,864</td>
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<tr>
<td>Neighborhood Revitalization</td>
<td>36%</td>
</tr>
<tr>
<td>Housing Rehabilitation</td>
<td>21.5%</td>
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<tr>
<td>Economic Development</td>
<td>36%</td>
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<tr>
<td>Commercial Revitalization</td>
<td>6.5%</td>
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**FFY 2014 Small Cities CDBG Eligible Communities**

The Florida Small Cities CDBG Program serves small and rural communities throughout the state. Funding is competitive, and there are no “targeted” areas. The following communities are eligible to apply to participate in the Small Cities CDBG Program during the FFY 2014 funding cycle:

- Alachua
- Alachua County
- Alford
- Altha
- Apalachicola
- Apopka
- Archer
- Arcadia
- Avon Park