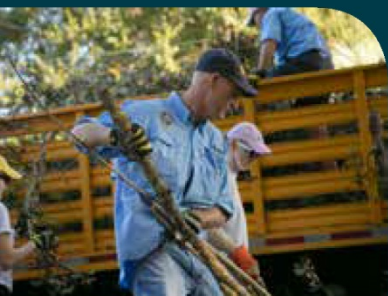


STATE OF FLORIDA
Action Plan *for* Disaster Recovery



Submitted to the U.S. Department of Housing and Urban Development (HUD) in fulfillment of requirements for the Community Development Block Grant- Disaster Recovery (CDBG-DR) program for recovery from Hurricanes Hermine and Matthew

SUBSTANTIAL AMENDMENT INTRODUCTION

Hurricane Hermine made landfall in Florida in September 2016, followed by Hurricane Matthew in October of the same year. Both storms caused significant damage in parts of the state.

The U.S. Department of Housing and Urban Development announced that the state of Florida would receive \$117 million in CDBG-DR funding following Hurricanes Hermine and Matthew. Working with communities around the state, DEO developed an action plan to guide how this funding will be distributed to address unmet needs. This Action Plan guides the distribution of Community Development Block Grant – Disaster Recovery (CDBG-DR) funding associated with disaster recovery from Hurricanes Hermine and Matthew. Disaster recovery funding through the CDBG-DR Program funding is designed to address unmet needs after other federal resources have been exhausted.

When the Hermine/Matthew Action Plan was initially developed, prior to subrecipient project awards, the estimated method of distribution and proposed program budget referenced in the “General Action Plan Requirements” section reflected specific amounts for each of the major activity types (General Housing, Affordable Rental Housing, and Non-Housing or Additional Housing). The specified amounts provided were based on a projected percentage distribution of 65% Housing (59% General Housing and 6% Affordable Rental Housing) and 35% Non-Housing, which is referenced in the basis for allocations of the “Projects and Activities” section.

Following subrecipient project award completion, DEO confirmed that the originally proposed percentage distribution is consistent with a 65% Housing and 35% Non-Housing breakdown. However, the actual Housing distribution breakdown is 34% General Housing and 31% Affordable Rental Housing, which remains within the 65% projection expectation. The infrastructure project award amounts were slightly lower than the original projection; however, the overall award amount is within the projected 35% Non-Housing distribution breakdown.

The effect of this change will reflect a reallocation of program budget across the General Housing, Affordable Rental Housing, and Non-Housing or Additional Housing activities, while the overall distribution percentages will remain constant with a 65% Housing and a 35% Non-Housing breakdown, as well as establishing an administrative planning activity.

Substantial Amendment 5, Approved by HUD June 1, 2020

Previous Page #	New Page #	Section	Change/Addition/Deletion
73-75	N/A	General Action Plan Requirements	Moved <i>14. Method of Distribution – Program Budget</i> from the General Action Plan Requirements Section to the Projects and Activities Section as outlined in the Table of Contents
74	N/A	Projects and Activities 14. Method of Distribution: Program Budget	Updated General Housing Activities: 80% MID Budget Breakdown from projected \$52,882,951 to \$29,257,314 20% MID Budget Breakdown from \$13,220,738 to \$8,919,674 Total Budget from \$66,103,689 to \$38,176,988
74	N/A	Projects and Activities 14. Method of Distribution: Program Budget	Updated Affordable Rental Housing Activities: 80% MID Budget Breakdown from \$5,377,927 to \$32,000,000 20% MID Budget Breakdown from \$1,344,482 to \$3,000,000 Total Budget from \$6,722,409 to \$35,000,000
74	N/A	Projects and Activities 14. Method of Distribution: Program Budget	Updated Non-Housing or Additional Housing Activities: 80% MID Budget Breakdown from \$31,371,242 to \$33,212,120 20% MID Budget Breakdown from \$7,842,811 to \$5,396,507 Total Budget from \$39,214,053 to \$38,608,627
74	N/A	Projects and Activities 14. Method of Distribution: Program Budget	Updated Sub-Totals to reflect Program Budget updates: 80% MID Budget Breakdown Sub-Total from \$89,632,120 to \$94,469,434 20% MID Budget Breakdown Sub-Total from \$22,408,030 to \$17,316,181
74	N/A	Projects and Activities 14. Method of Distribution: Program Budget	Added existing Planning budget of \$254,535 to the Program Budget
77	N/A	Projects and Activities 14: Method of Distribution	Removed duplicative program budget table A detailed program budget table appears on page 75

77	N/A	Projects and Activities 15: Basis for Allocations: Method of Distribution-Budget Categories	Updated language and graphic of percentages to reflect 34% General Housing Activities and 31% Affordable Rental Housing
86	N/A	Citizen Participation	<p>Deleted "original" and "(approximately \$5.8 million)" statements from the language regarding the substantial amendment threshold of "the allocation or reallocation of more than 10 percent of the original appropriation." The statement will now state the following:</p> <ul style="list-style-type: none"> • the allocation or reallocation of more than 10 percent of the appropriation (approximately \$11.7 million)

14. METHOD OF DISTRIBUTION

Funds will be used solely for necessary expenses related to disaster relief, long-term recovery, restoration of housing, infrastructure, and economic revitalization in the impacted and distressed Florida counties resulting from Hurricane Hermine and Hurricane Matthew as declared in DR-4280 and DR-4283. In order to prioritize limited funding in areas with highest damage, DEO disaster recovery program assistance outlined in this Action Plan will be limited to counties (and municipalities within those counties) that received FEMA Individual Assistance (IA) declarations in addition to their Public Assistance (PA) declaration.

DECLARED COUNTIES RECEIVING FEMA IA					
PDD 4283 – HURRICANE MATTHEW			PDD 4280- HURRICANE HERMINE		
Duval	Flagler	Volusia	Hillsborough	Wakulla	Leon
Indian River	Nassau		Levy	Citrus	Manatee
Seminole	Putnam		Pinellas	Dixie	Pasco
Brevard	St. Johns		Taylor	Hernando	

As required by the Federal Registers, Vol. 81, No. 224, Monday, November 21, 2016, Vol. 82, No.11, Wednesday, January 18, 2017 and Vol 82, No. 150, August 7, 2017, DEO will use 80 percent of the allocation to address unmet needs within the HUD-identified ‘Most Impacted and Distressed’ (MID) areas. This 80 percent MID area is currently limited to St. Johns County and the jurisdictions within the county. However, DEO may continue to review Unmet Needs data to assess whether additional county areas demonstrate sufficient need to warrant proposal to HUD for inclusion in the 80 percent MID allocation. Should such a proposal be made by DEO and approved by HUD, changes to the Action Plan would be amended through the substantial amendment process. If more than one county is designated as being Most Impacted and Distressed, the 80 percent will be further allocated as described in the substantial amendment.

DEO will ensure, as is required and identified in the Federal Register, that at least 70 percent of the entire CDBG Disaster Recovery grant award will be used for activities that benefit low- and moderate-income persons.

PROGRAM BUDGET

DEO is the lead agency and responsible entity for administering \$117,937,000 in Community Development Block Grant Disaster Recovery (CDBG-DR) funds allocated to the state for recovery. In accordance with the Register, DEO’s aggregate total for indirect costs, administrative and technical assistance expenditures will not exceed five percent of the total grant (\$5,896,850) plus program income. DEO will limit spending to a maximum of 20 percent of its total grant amount on a combination of planning, indirect and program administration costs. Planning costs subject to the 20 percent cap are those defined in 42 U.S.C. 5305(a)(12). State and local administration are capped at 5 percent in aggregate by federal regulations. The state may provide additional guidance to jurisdictions through the application process regarding the amount of administrative funds available to awardees. Eligible project delivery costs are presumed included in the grant award amounts, as applicable.

The program budget is outlined as follows:

SUMMARY OF IMPACTS/SUPPORT	GENERAL HOUSING	AFFORDABLE RENTAL HOUSING ⁴⁶	NON-HOUSING OR ADDITIONAL HOUSING	SUB-TOTAL
80% HUD Defined Most Impacted and Distressed (MID)	\$29,257,314	\$32,000,000	\$33,212,120	\$94,469,434
20% Other Most Impacted and Distressed (MID)	\$8,919,674	\$3,000,000	\$5,396,507	\$17,316,181
State & Local Administration ⁴⁷				\$5,896,850
Planning				\$254,535
TOTAL	\$38,176,988	\$35,000,000	\$38,608,627	\$117,937,000

⁴⁶ Affordable rental projects have tenant income requirements and rent restrictions in order to qualify.

⁴⁷ State and local administration are capped at 5% in aggregate by federal regulations. The State may provide additional guidance to jurisdictions through the application process regarding the amount of administrative funds available to awardees. Eligible project delivery costs

HURRICANE IRMA

Hurricane Irma made landfall in the State of Florida on September 10, 2017 causing significant damage to the Florida Keys and peninsula of the state. This has resulted in the exacerbation of impacts in some communities that were also hit by Hurricanes Hermine Matthew. Almost all communities that received a Public Assistance (PA) and Individual Assistance (IA) declaration in 2016 received a 2017 declaration for Hurricane Irma. This includes:

1. Brevard
2. Citrus
3. Dixie
4. Duval
5. Flagler
6. Hernando
7. Hillsborough
8. Indian River
9. Levy
10. Manatee
11. Nassau
12. Pasco
13. Pinellas
14. Putnam
15. Seminole
16. St. Johns
17. Volusia

In many of these communities it will be difficult, if not impossible to delineate impacts from these three distinct storms. Therefore, in the communities listed above, Florida will consider any eligible projects that address unmet need from Hurricanes Hermine, Matthew or Irma. As with all proposed projects, communities will have to document that there will be no duplication of benefits. This is especially important in areas that may receive additional federal assistance to address Irma-related impacts.

Jurisdictions from each of the budget areas (80 percent MID or 20 percent MID) will select projects to propose to DEO for funding in accordance with DEO thresholds and criteria. DEO will execute contracts with awarded jurisdictions, including municipalities within counties that receive a separate award. In other words, municipalities may apply directly to DEO and will be contracted with directly, if awarded.

Non-entitlement municipalities may choose to submit projects to DEO through their county. In these instances, the partnering jurisdictions must submit an executed agreement to DEO with their application. The county will be the awardee and no further pass-through will be allowed. DEO may consider requests from entitlement jurisdictions to apply through their county for efficiency or capacity purposes, however the county will be the awardee and no further pass-through will be allowed.

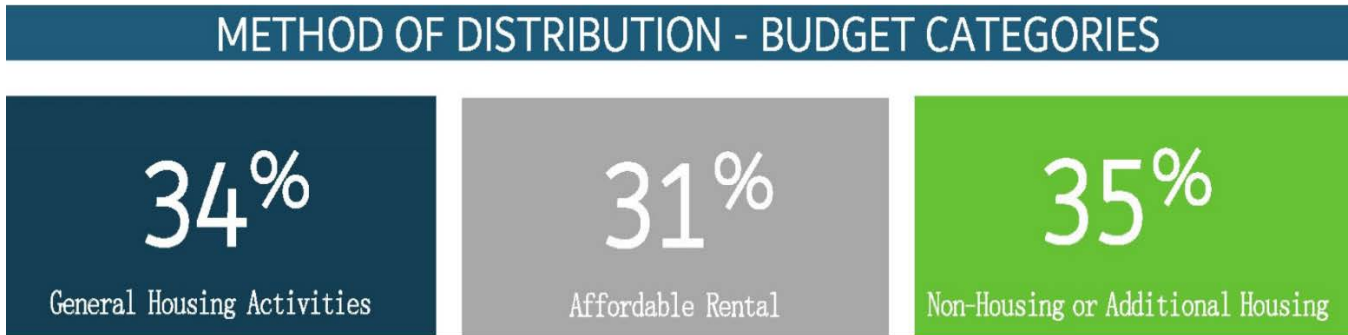
DEO will implement program management, monitoring, and oversight standards necessary to ensure compliance with state and federal requirements.

15. BASIS FOR ALLOCATIONS

In consideration of the Unmet Needs Assessment and HUD requirements, in order to prioritize limited funding in areas with highest damage, DEO disaster recovery program assistance outlined in this Action Plan will be limited to counties (and cities within those counties) that received FEMA Individual Assistance (IA) declarations in addition to their Public Assistance (PA) declaration. Program thresholds outlined in Section 16 state that projects or programs must primarily support LMI housing.

The Unmet Needs Analysis identified that approximately 68 percent of the Unmet Need is in the housing sector, 25 percent in infrastructure, and the remainder in the economic sector. The MOD budget categories are closely aligned with the Unmet Needs Analysis, with approximately 65 percent of the funds allocated towards housing (34% General Housing and 31% Affordable Rental). Approximately 35 percent of program funds may be used for infrastructure in support of LMI housing, economic recovery, or other eligible activities, including additional housing needs.

Updated needs assessment continues to support the percentages listed above regarding allocation of funds to support remaining unmet needs.



28. ACCESSIBILITY

The Action Plan was made available in English and Spanish, and was posted on the DEO website, which has embedded technology to provide accessibility to the visually impaired.

29. RECEIPT OF COMMENTS

DEO provided a 14 day timeframe for receiving public comments to the Action Plan and obtained comments via an email address published on the disaster recovery website.

30. SUBSTANTIAL AMENDMENT

DEO will engage citizens throughout the disaster recovery program to maximize the opportunity for input on proposed program changes that result in a substantial amendment. Program changes result in a substantial amendment when there is:

- a change in program benefit or eligibility criteria;
- the addition or deletion of an activity; or
- the allocation or reallocation of more than 10 percent of the appropriation (approximately \$11.7 million)

Citizens will be provided with no less than 14 days to review and provide comments on proposed substantial changes. A summary of all comments received will be included in the final Substantial Amendment submitted to HUD for approval.

DEO will notify HUD, but is not required to undertake public comment, when it makes any plan amendment that is not substantial. HUD will be notified at least five business days before the amendment becomes effective.

Every amendment to the action plan (substantial and non-substantial) will be numbered and posted on the DEO website.

31. SUMMARY OF PUBLIC COMMENTS

A summary of public comments submitted on the draft Action Plan, as well as DEO's response to each comment, are included in Appendix Six.

32. CONSISTENCY OF BUDGET

The combined activities are equal to or less than the total CDBG-DR amount available and amounts are consistent throughout the plan.

33. CITIZEN COMPLAINTS

The State of Florida will handle citizen complaints received by the state, its subrecipients, vendors, and/or other program sources by conducting:

1. Investigations as necessary;
2. Resolution; or
3. Follow-up actions.